

**DWSRF Guidance Document Number 15  
Environmental Review**

- I. All construction projects provided funding assistance through the DWSRF Program require an environmental review of the project to ensure that the project will not have any significant environmental impacts and require the preparation of an Environmental Impact Statement (EIS). The environmental review must be completed in accordance with the State Environmental Review Process (SERP), which was adopted in June 1997 and revised June 2008. The degree of environmental review is a function of the anticipated environmental impact of the project to be funded, which can range from having no effect to significantly conflicting with current land use patterns, adversely affecting wildlife habitat, wetlands, agricultural operations, archeological and historic resources, etc. The SERP is designed to require a progressively more in-depth environmental review as the potential for environmental affects increases. The following summarizes the various levels of environmental review documentation.
  - A. A Categorical Exclusion Request and an Environmental Information and Documentation Form have been developed and are attached.
  - B. The applicant is responsible for completion and submission of these documents to the DWSRF Program Specialist for assigned projects.
  - C. Construction projects conducted completely within a permanent, existing contained facility (e.g., school UV disinfection project) and reliable and scientifically-sound methods are used to appropriately dispose of wastes will not require the DWSRF Program Specialist to document the decision that a categorical exclusion applies. The Vermont Rural Water Association DWSRF Technical Assistance Provider will review these projects to ensure they meet the criteria above and provide a written recommendation to the DWSRF Program Specialist. If the project meets the above requirements the DWSRF Program Specialist will file the paperwork in the loan file and post the written recommendation to the WSD website.
  - D. The Categorical Exclusion Request and the Environmental Information and Documentation Form may be submitted as part of the preliminary engineering report. Additional environmental information may be included in the report.
  - E. The Categorical Exclusion Request and the Environmental Information and Documentation Form will be reviewed by the DWSRF Program Specialist for assigned projects. Site visits may be required. The DWSRF Program Specialist will generally follow up on incomplete submittals and address any regulatory compliance issues.
  - F. Initial categorical exclusion eligibility determinations are made by the DWSRF Program Specialist and if approved, the Notice of Determination of Eligibility for Categorical Exclusion (notice) is also completed by the DWSRF Program Specialist.
  - G. The notice is signed by the Director of the Water Supply Division or his designee in accordance with the SERP.

- H. The DWSRF Program Specialist is responsible for notice preparation, delivery of the decision document to identified stakeholders, and posting on the WSD website.
- I. Actions on categorical exclusions must be completed prior to execution of construction loans.
- J. If an environmental assessment is required, the applicant is responsible for preparation of the assessment.
- K. The review and approval process of any required environmental assessment will be managed by the DWSRF Program Specialist.
- L. Loans may include requirements for compliance with environmental review determinations.

## II. Class Review of Certain Types of Construction in Floodplains

A. A streamlined floodplain review process may be used for certain types of construction based on a class review. Pages 21-23 of the FEMA document, *Further Advice on Executive Order 11988*, outlines the types of activities which may be included in a class review. Many DWSRF construction projects impacting floodplains meet the criteria outlined for a class review. Project activities listed in the paragraph below when implemented in accordance with the provisions of Paragraph C below satisfy the requirements for compliance with Executive Order 11988.

### B. Actions included in the Class Review:

Construction of the following types of facilities in floodplains will normally utilize the eight-step process in the Water Resource Council's Floodplain Management Guidelines (see page 4 of the FEMA document, *Further Advice on Executive Order 11988*). There may be unusual circumstances where an action described below will require an environmental assessment. Any action which supports additional floodplain development requires an environmental assessment.

- (a) Water mains and appurtenances constructed for transmission of water which do not serve areas located in floodplains or impact floodplain development
- (b) Utility lines (telephone, electric, etc.) and appurtenances which are necessary for the operation and maintenance of water supply facilities located in floodplains
- (c) Wells and appurtenances used for domestic water supply systems
- (d) Water intakes, appurtenances for domestic water supply
- (e) Small water pumping stations which require above grade structures of 50 square feet or less
- (f) Modifications and improvements to existing water supply facilities which serve existing homes and communities located in floodplains which do not provide increased system capacity that would support additional floodplain development

### C. Compliance Criteria for Class Actions:

All activities which are described above must be implemented in accordance with the following criteria. Actions which do not comply with these criteria must be analyzed in accordance with the eight-step process.

- (a) Determine if the action occurs in the floodplain and document the determination that the action is covered by the class action.
- (b) There is no practicable alternative to siting in the floodplain.
- (c) All practical measures will be taken during implementation to minimize any adverse impacts to natural and beneficial floodplain values.
- (d) All facilities will be designed and constructed to withstand flooding with minimum

damage.

- (e) All activities will adhere to the minimum standards of the National Flood Insurance Program and will comply with local floodplain management regulations. In accordance with these minimum standards, proposed actions will be evaluated to ensure that development (1) will not significantly increase 100-year flood elevations, and (2) will not involve placement of fill or other flow obstructions in the floodway portion of the floodplain unless compensatory adjustments are also included.
- (f) Existing vegetation (ground cover and canopy) will be left in place and undisturbed to the maximum extent practicable.
- (g) Best management practices will be used as a minimum to control surface water runoff and erosion. Disturbed areas will be reseeded as soon as possible with species adapted to existing conditions.
- (h) Dredge spoils will be disposed of properly in accordance with local, State and Federal regulations at an inland site outside identified floodways.
- (i) Riprap, as opposed to soil, will be utilized as fill material below the maximum normal pool elevation. Prior to crossing areas harboring threatened or endangered species, or areas specifically identified as "sensitive", biologists will be contacted and will assist in the determination of mitigative measures necessary to negate or minimize impacts to these areas.

Honorable Jim Douglas, Governor    George Crombie, ANR Secretary    Laura Pelosi, DEC Commissioner

This guidance and related environmental information are available electronically via the internet. For information visit us through the Vermont Homepage at <http://www.vermont.gov> or visit VT WSD directly at <http://www.vermontdrinkingwater.org>

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